



# Administer your SBIC fund with industry-leading expertise

with PEF Fund Administration Services for SBICs

## PROVEN TRACK RECORD IN SBIC FUNDS

Small Business Investment Companies (SBICs) are attractive investment vehicles, but they require an additional layer of complex administration, compliance, and reporting.

PEF Services is the leading provider of SBIC fund administration services and consulting, with a 15-year track record and principals who have been involved in the program for more than 25 years.

With deep roots in the SBIC community, a high-touch approach to service, and a best-of-breed technology platform to support you and your investors, PEF helps firms meet complex reporting and compliance requirements confidently.

## TRANSFORM YOUR BACK OFFICE

An efficient back office enables you to deliver exceptional client services and achieve new standards of transparency, consistency, and data integrity. PEF Services offers a complete range of services to help you maximize program benefits, minimize the back-office burden, and comply with SBA requirements.

## KEY SBIC FUND ADMINISTRATION BENEFITS

- ✓ Customized solutions that align with your workflow
- ✓ Efficient, cost-effective support
- ✓ A dedicated, experienced client services team
- ✓ Consistent, accurate financial reporting
- ✓ Industry-leading technology to streamline investment data collection
- ✓ A fund accounting platform customized for SBA reporting
- ✓ Investor portal with 24/7 data access for you and your investors

“PEF really has dominant expertise as a fund administrator in the SBIC space.”

**KEVIN SHEEHAN**

Managing General Partner, Multiplier Capital

## SBIC SERVICES

*We support SBIC compliance by:*

Preparing all SBA required reporting:

- Forms 468, 1031A & 1031
- Capital Certificates
- SBA Commitment Applications & Leverage Draw Requests
- SBA Exam Schedules

**Providing** ongoing Regulatory Analysis & Support

## FUND ADMINISTRATION

*PEF offers a complete, outsourced fund administration solution including:*

Partnership Accounting

Financial Reporting

Capital Account Maintenance

Administration & Compliance

Investor Services

AML/KYC Support

Fund Raising Data Room

## SBIC CONSULTING

*We provide consultation and support for the entire SBIC fund lifecycle:*

Pre-Licensing MAQ & PPM review

Mock SBA Exams

Financial Modeling & Projections

Distribution Analysis

Liquidation Strategies & Fund Wind-Up

Secondary Transaction Support



# Experience is everything.

We are a boutique firm staffed by CFAs, CPAs, former CFOs, board directors, SBA executives, audit managers, and compliance officers, and we bring a wealth of insight and experience to every client engagement. By hiring the best and promoting from within, we have built one of the most skilled and respected teams in the industry.

Years of experience in  
alternative asset management

# 15+

Fund and General  
Partnership clients

# 100+

Limited Partners using our  
Investor Library

# 4,000+

## People. Processes. Technology.

Our high-touch approach to SBIC fund administration combines exceptional teams, proven processes, and industry-leading technology. This engagement model enables us to align our SBIC services and consulting with your workflow and help you demonstrate new levels of transparency and service quality to your investors.



Our client services team brings together experienced, senior-level accountants and analysts to provide a complete SBIC fund accounting and SBA reporting solution.



Our proven onboarding process has been perfected across hundreds of engagements to maximize efficiency and eliminate the unexpected.



We use proprietary and best-of-breed technologies that efficiently and securely process transactions, track investor data, automate LP reporting, and generate custom reports and analytics.

See how PEF can help you transform your back office into a center of excellence.  
**VISIT [PEFSERVICES.COM](http://PEFSERVICES.COM) OR CALL 212-203-4685.**

### ABOUT PEF

Since 2002, PEF has helped some of the most complex fund types meet stringent regulatory and investor requirements. We are nationally recognized as one of the top boutique fund administrators and back-office specialists for General Partners, Limited Partners, and management companies in the alternative asset space.



### PEF SERVICES

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